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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PRES06-00163

First Inventor or Application Identifier Schachar, Ronald A.

Title SEGMENTED SCLERAL BAND FOR TREATMENT OF PRESBYOPIA  
AND OTHER EYE DISORDERS

Express Mail Label No. EJ033593156US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 29]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of (when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment (w/redlined Spec and Substitute Spec)
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - a. Small Entity  Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
  - b. Certified Copy of Priority Document(s) (if foreign priority is claimed)
13.  Other: Letter to Official Draftsman

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09 / 032,830

Prior application information: Examiner Michael J. Milano Group / Art Unit: 3738

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below
Name	William A. Munck, Esq. Novakov Davis, P.C.			
Address	2000 St. Paul Place 750 North St. Paul Street			
City	Dallas	State	Texas	Zip Code
Country	U.S.	Telephone	(214) 922-9221	Fax (214) 969-7557

Name (Print/Type)	William A. Munck, Esq.	Registration No. (Attorney/Agent)	39,308
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Signature	William A. Munck	Date	April 21, 2000
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



RECEIPT

DOCKET NO. PMS06-00163

PATENT

FILE COPY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Ronald A. Schachar

#  
9

Serial No. : 09/556,143

Filed : April 21, 2000

For : SEGMENTED SCLERAL BAND FOR TREATMENT OF  
PRESBYOPIA AND OTHER EYE DISORDERSSEARCHED  
INDEXED  
MAILED  
JULY 17 2000  
USPTO - 2001

Group No. : 3738

Examiner : Not yet assigned

Assistant Commissioner for Patents  
Official of Initial Patent Examination  
Customer Service Center  
Washington, D. C. 20231

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on 7-12-00 (Date)

LAURA ZAVALA

(Printed or typed name of person signing the certificate)

Helen Zabel

(Signature of the person signing the certificate)

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

The Applicant attaches hereto a copy of the Official Filing Receipt from the Patent and Trademark Office in the above-referenced patent application. The Filing Receipt contains two errors, namely, under the heading "Drawings" the filing receipt incorrectly lists the number of drawings as "9". The correct number of drawings is "5". A copy of the Letter to Official Draftsman filed with the application showing the correct number of drawings is attached for easy reference.

The Filing Receipt also shows an incorrect title, namely, "Segmented Scleral Band For Treatment of Presbyopia Other Eye Disorders". The correct title is "Segmented Scleral Band For

DOCKET No. PRES06-00163  
U.S. SERIAL NO. 09/556,143  
PATENT

Treatment of Presbyopia And Other Eye Disorders". A copy of the postcard receipt and the Utility Patent Application Transmittal showing the correct title is also attached for easy reference.

Please correct the Filing Receipt to reflect the correct number of drawings and the correct title. Once the correction is made, please provide us with a corrected filing receipt.

Respectfully submitted,

NOVAKOV ♦ DAVIS, P.C.



John T. Mockler  
Registration No. 39,775

Date: 12 July 2000

2000 St. Paul Place  
750 North St. Paul Street  
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## FILING RECEIPT



\*OC00000005220173\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/556,143 04/21/2000 3738 690 PRES06-00163 15 3

5

William A Munck Esq  
 Novakov Davis PC  
 2000 St Paul Place  
 750 North St Paul Street  
 Dallas, TX 75201-3286

Date Mailed: 07/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ronald A. Schachar, Dallas, TX ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/032,830 03/02/1998  
 WHICH IS A CIP OF 08/462,649 06/05/1995 PAT 5,722,952  
 WHICH IS A DIV OF 08/139,756 10/22/1993 PAT 5,489,299  
 WHICH IS A DIV OF 07/913,486 07/15/1992 PAT 5,354,331

## Foreign Applications

If Required, Foreign Filing License Granted 07/05/2000

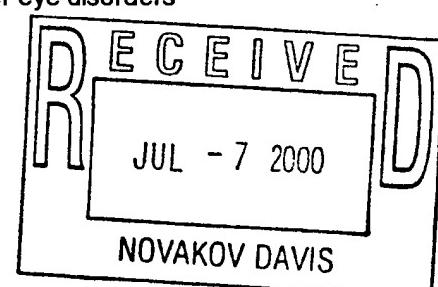
## Title

Segmented scleral band for treatment of presbyopia and other eye disorders

## Preliminary Class

623

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 JUL - 2 2000  
 TC 3700 MAIL ROOM



Data entry by : WYATT, DEBRA



Date: 07/05/2000

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

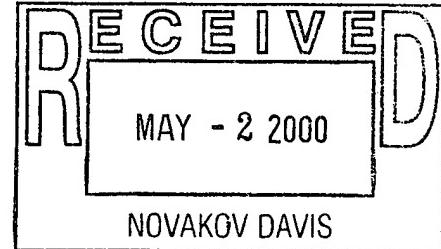


Mailed: April 21, 2000 **EXPRESS MAIL No. EJ033593156US**  
In re Application of: RONALD A. SCHACHAR  
For: SEGMENTED SCLERAL BAND FOR TREATMENT OF  
PRESBYOPIA AND OTHER EYE DISORDERS  
Docket No.: PRES06-00163

The following documents were received in the U.S. Patent and Trademark Office on  
the date stamped below:

Jc712 U.S. PTO  
**09/556 143**

- 1) Utility Patent Application Transmittal (in duplicate)  
2) Fee Transmittal for FY 2000 (in duplicate);  
3) Preliminary Amendment (with Redlined Specification (pgs. 1-21))  
4) Letter to Official Draftsman (with 5 sheets of Informal Drawings)  
5) Substitute Specification (pages 1-33)  
5) Copy of Declaration from Parent Application  
6) Copy of Parent Application Serial No. 09/032,830 (29 pages) and Informal  
Drawings (4 sheets)  
7) Check for \$690.00 for continuation application filing fee





Bib Data Sheet

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231**FILE COPY**

SERIAL NUMBER 09/556,143	FILING DATE 04/21/2000 RULE -	CLASS 623	GROUP ART UNIT 3738	ATTORNEY DOCKET NO. PRES06-00163
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**APPLICANTS**

Ronald A. Schachar, Dallas, TX ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/032,830 03/02/1998  
 WHICH IS A CIP OF 08/462,649 06/05/1995 PAT 5,722,952  
 WHICH IS A DIV OF 08/139,756 10/22/1993 PAT 5,489,299  
 WHICH IS A DIV OF 07/913,486 07/15/1992 PAT 5,354,331

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 07/05/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 5	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

William A Munck Esq  
 Novakov Davis PC  
 2000 St Paul Place  
 750 North St Paul Street  
 Dallas , TX 75201-3286

**TITLE**

Segmented scleral band for treatment of presbyopia and other eye disorders

FILING FEE RECEIVED 690	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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